

InvestmentNews

The Up-and-Comers

Top fee-only emerging registered investment advisers ranked by discretionary AUM

Rank	Firm name	State	Discretionary assets (3/31)	Discretionary assets (2007)	Growth in discretionary assets	Discretionary accounts (3/31)	Total assets (3/31)	Clients
1	Charles D. Haines LLC	AL	\$499.86M	\$115.97M	\$383.88M	498	\$508.37M	251-500
2	Summit Rock Advisors LLC	NY	\$493.00M	\$351.46M	\$141.54M	28	\$493.00M	11-25
3	Twin Focus Capital Partners LLC	MA	\$484.84M	\$202.24M	\$282.60M	516	\$625.03M	26-100
4	Mark Capital Management Inc.	CA	\$476.03M	\$77.00M	\$399.03M	575	\$476.03M	251-500
5	The Caprock Group Inc.	ID	\$468.59M	\$359.11M	\$109.48M	1,515	\$469.18M	101-250
6	Sweetwater Investments Inc.	WA	\$456.26M	\$156.56M	\$299.70M	179	\$1.55B	251-500
7	Albion Financial Group	UT	\$451.86M	\$292.44M	\$159.42M	1,247	\$471.56M	251-500
8	WMS Partners LLC	MD	\$450.56M	\$334.13M	\$116.43M	190	\$764.06M	101-250
9	Howard Financial Services Ltd.	TX	\$440.94M	\$201.51M	\$239.43M	217	\$762.23M	251-500
10	Offit Capital Advisors	NY	\$398.67M	\$0	\$398.67M	39	\$3.95B	26-100
11	Cypress Wealth Advisors	CA	\$389.37M	\$255.40M	\$133.97M	149	\$409.57M	26-100
12	Pettinga Financial Advisors Inc.	IN	\$388.77M	\$0	\$388.77M	437	\$388.77M	251-500
13	Aureus Asset Management LLC	MA	\$377.51M	\$186.00M	\$191.51M	87	\$377.51M	26-100
14	Camden Capital Management LLC	CA	\$375.00M	\$150.00M	\$225.00M	406	\$400.00M	26-100
15	R. E. Wacker Associates Inc.	CA	\$354.16M	\$0	\$354.16M	423	\$354.72M	251-500
16	Argos Wealth Advisors LLC	CA	\$349.97M	\$242.40M	\$107.57M	1,071	\$349.97M	26-100
17	Arcus Capital Management LLC	GA	\$347.86M	\$0	\$347.86M	76	\$347.86M	26-100
18	New England Private Wealth Advisors LLC	MA	\$337.86M	\$230.46M	\$107.40M	1,278	\$482.32M	101-250
19	The Monitor Group LLC	FL	\$336.83M	\$0	\$336.83M	201	\$336.83M	101-250
20	Crestwood Advisors LLC	MA	\$335.72M	\$238.85M	\$96.87M	194	\$353.76M	101-250
21	Arnerich Massena & Associates Inc.	OR	\$331.39M	\$165.00M	\$166.39M	173	\$11.31B	251-500
22	KSM Capital Advisors LLC	IN	\$327.20M	\$173.21M	\$153.99M	1,006	\$435.63M	251-500
23	Leonetti & Associates LLC	IL	\$315.80M	\$0	\$315.80M	925	\$315.80M	251-500